Explaining non-participation in deliberative mini-publics

Jacquet, Vincent

Published in:
European Journal of Political Research

DOI:
10.1111/1475-6765.12195

Publication date:
2017

Document Version
Early version, also known as pre-print

Link to publication

Citation for published version (HARVARD):

General rights
Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

• Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
• You may not further distribute the material or use it for any profit-making activity or commercial gain
• You may freely distribute the URL identifying the publication in the public portal?

Take down policy
If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.
Explaining non-participation in deliberative mini-publics

Vincent JACQUET
F.R.S.-FNRS
UCLouvain, Belgium

vincent.jacquet@uclouvain.be

Keywords: Mini-public; Deliberative Democracy; Democratic Innovations; Political Participation; Random Selection

Abstract: This article investigates citizens’ refusal to take part in participatory and deliberative mechanisms. An increasing number of scholars and political actors support the development of mini-publics, that is, deliberative forums with randomly selected lay citizens. It is often argued that such innovations are a key ingredient to cure the democratic malaise of contemporary political regimes because they provide an appropriate means to achieve inclusiveness and well considered judgment. Nevertheless, real-life experience shows that the majority of citizens refuse the invitation when they are recruited. This raises a challenging question for the development of a more inclusive democracy: Why do citizens decline to participate in mini-publics? This article addresses this issue through a qualitative analysis of the perspectives of those who have declined to participate in three mini-publics: the G1000, the G100 and the Climate Citizens Parliament. Drawing on in-depth interviews, six explanatory logics of non-participation are distinguished: concentration on the private sphere, internal political inefficacy, public meetings avoidance, conflict of schedule, political alienation and mini-public’s lack of impact on the political system. This shows that the reluctance to take part in mini-publics is rooted in the way individuals conceive their own roles, abilities and capacities in the public sphere but also in the perceived output of such democratic innovations.

Over the last decades, confidence in representative institutions and actors has decreased and conventional forms of engagement have mobilized fewer citizens (Dalton and Welzel, 2014). To cure this democratic malaise, participatory and deliberative procedures have been developed in order to foster the involvement of lay citizens in political matters (Papadopoulos and Warin, 2007, Geissel and Newton, 2012). Among them, mini-publics are often presented as ‘the most promising constructive efforts for civic engagement and public deliberation in contemporary politics’ (Fung, 2007: 159). These are participatory forums gathered for a deliberation on a specific topic lasting one or more days. Various mechanisms exist but the most standardized are citizens’ juries, deliberative polls and citizens’ assemblies (Grönlund et al., 2014).

While these deliberative mechanisms may vary widely in terms of design, one of their main features is the use of lots to recruit participants. Advocates of mini-publics claim that random selection ensures inclusiveness because each person in the sampled population has an equal chance of being selected (Fishkin, 2009). They aim to break the reproduction of political inequalities observed in other forms of participatory innovations open to all who wish to attend where already active citizens are overrepresented (Fung, 2007). The objective is to bring in a diversified panel of citizens for deliberating. Nevertheless, experience shows that many citizens decline the invitation when they are recruited even if the response rate may vary between mini-publics (Goidel et al., 2008). As observed for other forms of demanding engagement like party activism or demonstrating (Verba et al., 1995), only a part of the population is engaged in such democratic innovations. This raises the following question: Why do citizens decline to participate in deliberative mini-publics? Most empirical research scrutinizes participants’ motivations (Curato and Niemeyer, 2013), opinion changes (Barabas, 2004) and civic transformations induced by deliberation (Grönlund et al., 2010, Talpin, 2011). This article proposes to focus on the other side of the coin: the citizens who do not want to be involved in mini-publics when they are recruited. It is essential for understanding the reaction of the wide public towards these forms of democratic innovations.

Previous studies have addressed this issue by looking at the profile of participants and observed the (limited) overrepresentation of better educated and politically active individuals (Goidel et al., 2008, Fournier et al., 2011). Nevertheless, these statistical biases do not explain why individuals decline to take part in deliberative mini-publics. Drawing on in-depth interviews, this article intends to fill this gap by analyzing the views of those who have refused to participate.
in three Belgian mini-publics: the G1000, the G100 and the Climate Citizens Parliament. They reflect diverse forms of mini-publics currently organized in representative democracies. Based on a data-driven thematic analysis (Braun and Clarke, 2006), six explanatory logics of non-participation in mini-publics are identified: concentration on the private sphere, internal political inefficacy, public meetings avoidance, conflict of schedule, political alienation and the mini-public’s lack of impact on the political system. They all pose specific challenges for the development of a more inclusive democracy.

This article is structured in four sections. First, it introduces the relevance of understanding non-participation in mini-publics. Secondly, it describes the similarities and the differences between the three case studies as well as the methodology used to collect and analyze the in-depth interviews with non-participants. Subsequently, the six logics of non-participation are presented. It then concludes by discussing the implications of these results for the development of a more inclusive democracy.

NON-PARTICIPATION IN MINI-PUBLICS

To ensure inclusiveness, organizers of mini-publics use random selection to recruit participants (Carson and Martin, 1999). Three lines of theoretical arguments can be distinguished to justify the use of drawing lots. For a few advocates, it allows the creation of a representative ‘microcosm’ of the society (Fishkin, 2009). For others, random selection brings a diversity of points of view into the mini-public (Buchstein, 2010, Landemore, 2013). According to Bohman (2007), increasing heterogeneity among participants enhances the epistemic quality of deliberation. The diversity induced by random selection is expected to produce better and smarter decisions. Finally, others argue that drawing lots best embodies the idea that all citizens are equally capable of political judgment and equally responsible for the public good (Barber, 1984).

However, participation is never compulsory and the inclusive character of random selection is limited by the possibility to decline the invitation to deliberate. Some events attract only 1% of the targeted population like, for example, the AmericaSpeaks and CaliforniaSpeaks initiatives (Fishkin, 2009) but others succeeded to obtain a higher rate, such as the 30% of the Australian Citizens Parliament (Curato and Niemeyer, 2013). The three well-known citizens assemblies
on electoral reforms have showed the same pattern of returned invitation letters with 7.4% in British Columbia, 6.2% in the Netherlands and 5.7% for Ontario (Fournier et al., 2011: 32). The average rate for British Citizens juries usually lies at 20% (Stewart et al., 1994), and a comparative study of eleven Spanish local Citizens juries show an average of 29.7% but with an important discrepancy (Font and Blanco, 2007). The level of participation of Deliberative Polls hovers around twenty percent (Luskin and Fishkin, 1998: 4). These rates have to be interpreted with a great deal of caution because they are calculated in largely diverging ways, but they show that many citizens decline the invitation to participate in such democratic innovations. It may not surprise specialists of political engagement, because it is well documented that only a small circle of the population is active in the political arena, especially in demanding forms of action like party activism or community groups (Barnes and Kaase, 1979, Verba et al., 1995). But random selection is precisely used to open the door of deliberation to a larger public, beyond the circle of already active citizens (Fung, 2007). Nevertheless, self-selection still appears. The brief literature review shows that despite differences between cases, the majority – 70% in best scenarios – of the recruited population refuse to participate. In these circumstances, it is relevant to scrutinize the reasons of this non-participation to understand the reaction of the wider public towards these deliberative mini-publics.

The growing debate on process preferences has explored citizens’ attitudes towards the participatory turn of democracies. In their book Stealth Democracy, Hibbing and Theiss-Morse (2002) argue that people will refuse because they do not believe that it is the role of citizens to be more involved in the political realm. Subsequently, several studies based on national surveys have challenged this thesis by observing much more support for deliberation and participation than expected (Neblo et al., 2010, Webb, 2013, Coffé and Michels, 2014, Font et al., 2015). These studies are important to grasp citizens’ folk philosophies about democracy and a hypothetical desire to participate in politics. However, they do not explain why individuals nowadays refuse to get involved in real-life deliberative experiments. As suggested by Webb, it is one thing to say that you would be willing to participate if given the chance, but another to actually participate when confronted with the possibility of doing so (Webb, 2013: 765). To tackle this problem, it is important to pay attention to citizens’ reactions towards actual opportunities.
Current studies on mini-publics’ inclusiveness focus on a comparison of mini-public participants with the broad population sociodemographic profiles. They indicate that participation follows the social unequal distribution pattern of political engagement (Font and Blanco, 2007, Goidel et al., 2008, French and Laver, 2009, Curato and Niemeyer, 2013, Griffin et al., 2015). Participants are better educated, mostly men and older than the average population. But they also point out that these overrepresentations are limited, and by no means do they reflect the wide differences between participants and non-participants in more traditional political activities (Smith, 2009). Some scholars analyze the political attitudes of participants. With no surprise, participants are more politically interested and have a higher sense of efficacy (French and Laver, 2009, Fournier et al., 2011). Goidel et al. (2008) also observe that the Louisiana Public Square Forum attracted more ideologically moderate participants who valued the role of discussion in democratic governance. Nevertheless, these statistical biases do not elucidate the reasons why citizens refuse to participate in such democratic innovations. In order to disentangle this question, attention must be paid to the views and perspectives of non-participants.

Some case studies report justifications provided by non-participants such as the lack of interest or personal reasons (e.g. work and family commitments or health problems) (Font and Blanco, 2007: 564). In one more systematic American experiment (Neblo et al., 2010), respondents who answered that they are “Not too” or “Not at all” interested in participating in deliberative events were invited to select items to justify their lack of interest. The four most chosen justifications were “Do not know enough to participate”, “Too busy”, “Dislike conflict”, “Will not lead to binding decision”. But do these reasons correspond to the ones provided by citizens actually confronted by an invitation to join a mini-public? What is the explanatory mechanism behind these items? What do they mean in the eyes of actors? The purpose of this article is to address these questions.

Given this empathic program, an inductive and qualitative research design is the most appropriate approach (Bernard and Ryann, 2010). By identifying the meaning this phenomena has for individuals, it is indeed possible to discover what lies behind, or underpins, a decision, an attitude and a behavior (Ritchie, 2003: 28). To this end, in-depth interviews have been collected and analyzed. This technique of non-structured interviews aims to grasp the point of view of individuals on a specific subject instead of imposing pre-formulated categories.
These interviews are particularly suited to understand the meanings attributed to social phenomena and relations with respondents’ personal trajectories and opinions (Marvasti, 2003).

INTERVIEWS OF NON-PARTICIPANTS IN THREE MINI-PUBLICS

The analysis is based on in-depth interviews with non-participants in three mini-publics: the G1000, the G100 and Climate Citizens Parliament (CCP). This section describes them and the methods used to collect and analyze interviews.

Diverse cases of mini-publics

Individuals interviewed have refused to participate in three mini-publics, all located in Belgium. This country is a typical illustration of a representative regime where mini-publics are introduced to reinvigorate the democratic system (Van damme et al., 2017). Following pioneer countries from Northern Europe, Belgium has experienced a growing number of participatory and deliberative experiments since the first decade of the 21st century. The diversity of mini-publics makes this area particularly interesting for analyzing citizens’ reactions. As pointed out by Papadopoulos (2013: 142), participatory innovations can be distinguished according to their types of initiators. Some are organized by civic organizations. They seek to remedy political apathy and to improve the quality of citizens’ involvement in public affairs. They might influence public policies but in an informal way. On the other side, public authorities also initiate participatory experiments to consult the population and collect information from the ground. This article will scrutinize the non-participation in both kinds of mini-publics with the Climate Citizens Parliament organized by the public authorities and the grassroots G1000 and G1002.

Moreover, the level of governance can differ. Participatory experiments are mostly organized at the local level, considering that citizens can mainly make useful contributions on issues in their immediate environment (Bacqué et al., 2001). But nowadays such mechanisms are more and more organized at the national level. Belgium offers the possibility to study citizens’ reactions towards both kinds of procedures with the organization of the national large-scale G1000.
Finally, cases can differ in their recruitment procedures (Fung, 2007). CCP participants have received an official letter from provincial authorities inviting them to respond if they were interested. The two other mini-publics have used phone calls to recruit citizens and recalled them several times if they expressed hesitation to convince them to participate. But in each case, all costs were covered by the organization (travel, food, and hotel) and childcare was organized. A system was set up to help people who could not attend alone (e.g. handicapped or elderly persons) to pick them up by car. Nevertheless, none of the three cases have paid participants\(^3\), which is a common practice for deliberative polls and some citizens juries (Fishkin, 2009). This is important to take into account for analyzing the data, because these possible pecuniary incentives were absent.

The three cases allow an analysis of citizens’ reaction towards different types of mechanisms in terms of initiator, level of governance and type of recruitment (see table 1). Interestingly, all have faced a similar raw positive response rate\(^4\) at only three percent. It is then necessary to be cautious with possible generalizations, because, as discussed in the previous section, other devices perform better in terms of response rates. However the aim of this article is not to explain the differences in recruitment success across cases, but to discover why many randomly selected citizens fail to participate in mini-publics by paying attention to the way they perceive this type of mechanism.

In the following paragraphs, the three respective contexts and designs are briefly described.

<table>
<thead>
<tr>
<th>Initiative</th>
<th>Level of governance</th>
<th>Duration of the deliberation</th>
<th>Recruitment tool</th>
<th>Positive response rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>G1000 Civic organization</td>
<td>National</td>
<td>1 day</td>
<td>Phone call by one private agency + recall to persuade them</td>
<td>3.0</td>
</tr>
<tr>
<td>G100 Civic organization</td>
<td>Local</td>
<td>1 week-end</td>
<td>Phone call by volunteer of municipality</td>
<td>2.6</td>
</tr>
<tr>
<td>Climate Citizens Parliament (CCP)</td>
<td>Local</td>
<td>3 week-ends</td>
<td>Official Letter from the provincial authorities</td>
<td>3.0</td>
</tr>
</tbody>
</table>
The G1000 took place in particular circumstances for the country. After the 2011 elections, the federal level was left without an effective government for several months because parties were unable to find an agreement. During this crisis, a group of citizens decided to create a mini-public gathering 1000 inhabitants in the context of the stalemate of partisan politics. The main goal was to show that citizens were able to express their voice between electoral campaigns (G1000, 2012). On 11 November 2011, 704 participants deliberated about three issues: social security, immigration and redistribution of wealth. This case hereby constitutes one of the biggest face-to-face mini-publics in the world (Caluwaerts and Reuchamps, 2015). At the end of the day, a report was given to the presidents of the seven parliaments of the country.

Organizers asked an independent recruitment agency to contact participants through Random Digit Dialing. Every inhabitant who has a land-line or a cell phone was thus likely to be invited to participate in the G1000. Eventually, the three percent of citizens who were contacted accepted coming to Brussels to deliberate. The random selection was cross-checked for three predefined population quotas: by gender, by age and by province (G1000, 2012). The G100 was created in the aftermath of the G1000. Inhabitants of a municipality in the South of Brussels, Grez-Doiceau, were interested in the experience of the G1000 and decided to create their own mini-public. Like the G1000, the experience was entirely independent from public authorities. The small group of organizers was composed of active inhabitants of the municipality and two associations. The mini-public consisted of a two-day deliberation (11th-12th October 2014). G100 participants were firstly asked to imagine their ideal municipality in thirty years from now. Afterwards, they were asked to propose goals and to join working groups.

With regards to participants’ selection, organizers decided to adopt a mixed method combining a general call for participants on the one hand and a random selection by phone on the other hand. For the latter, they used the comprehensive municipal list of telephone numbers provided by the post office. A group of volunteers called 115 randomly selected numbers. But on the day of G100, only three participants were randomly selected individuals: two women and one man. The 44 other participants were volunteers invited by other means (friends, family, advertisement).
The third case, the Climate Citizens Parliament (CCP), was organized by public authorities of the province of Luxembourg, a local level of governance in Belgium. Under the leadership of the local minister in charge of sustainable development, a deliberative mini-public was initiated in 2014. The timing was connected to the 2015 United Nations Climate Change Conference in Paris. From September to October 2015, 45 participants deliberated during three weekends. At the end of the process, participants wrote a report underlining different concerns and proposals for local public policies. This report was presented in a plenary session of the Provincial Council.

In order to recruit participants for the Climate Citizen Parliament, an invitation letter was sent to 2500 randomly selected inhabitants of the province. But despite this different mode of recruitment, the response rate shows a very similar pattern with 75 volunteers. An independent recruitment company has re-contacted these respondents by phone to collect socio-demographic information and to create a diversified citizens’ panel. In practice, it has been possible to bring together a diversified group in terms of age and residency, but not in terms of gender with 19 women for 26 men.

Introductions collection and analysis

34 original interviews were carried out by the author of this article from November 2014 to February 2016: 10 with non-participants of the G1000, 10 with non-participants of the G100 and 14 with non-participants of the CCP. The organizers of the three mini-publics agreed to provide the complete list of non-participants’ phone numbers, including their first name and surname. People were thus contacted randomly by phone by the researcher to schedule an interview. As usual for qualitative research, the aim is not to reach a representative sample of the population but rather to collect the diversity of views and experiences with regards to the analyzed topic (Ritchie et al., 2003). To this end, two strategies have been adopted. As a first step, individuals who had agreed to participate in the research were categorized according to their gender, age and occupation. The researcher subsequently made an appointment with a selection of the respondents at hand ensuring a certain balance across the different types of profiles. This resulted in a diversified group of interviewees as illustrated in table 2. In a second
phase, and according to the principle of data saturation, interviews were gradually planned until no additional information was provided by new interviews in each of the three cases.

<table>
<thead>
<tr>
<th>Table 2. Profile of interviewees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender</td>
</tr>
<tr>
<td>Men</td>
</tr>
<tr>
<td>Women</td>
</tr>
<tr>
<td>Age$^{10}$</td>
</tr>
<tr>
<td>18-35</td>
</tr>
<tr>
<td>36-59</td>
</tr>
<tr>
<td>60+</td>
</tr>
<tr>
<td>Education</td>
</tr>
<tr>
<td>None or primary</td>
</tr>
<tr>
<td>Secondary</td>
</tr>
<tr>
<td>Undergraduate</td>
</tr>
<tr>
<td>Graduated</td>
</tr>
<tr>
<td>Occupational position</td>
</tr>
<tr>
<td>Employee public sector</td>
</tr>
<tr>
<td>Employee private sector</td>
</tr>
<tr>
<td>Self-employed</td>
</tr>
<tr>
<td>Retired</td>
</tr>
<tr>
<td>Unemployed</td>
</tr>
<tr>
<td>Mini-public</td>
</tr>
<tr>
<td>G1000</td>
</tr>
<tr>
<td>G100</td>
</tr>
<tr>
<td>Climate Citizens Parliament</td>
</tr>
</tbody>
</table>

The in-depth interviews with non-participants lasted between 45 minutes and two hours. The place of meeting was, most of the time, in the respondent’s home and less frequently at their workplace or a bar. The whole conversation was audio-recorded with the agreement of the respondent. The researcher asked the questions in the interview guide presented in appendix 1. But following the principles of in-depth interviewing, the structure was quite flexible in order to permit topics to be covered in the order most suited to the interviewee, to allow responses to be fully probed and explored (Legard et al., 2003: 141).

The 34 interviews were transcribed verbatim and the NVivo software (QSR International, UK) was used to manage this large amount of data. This software is particularly appropriate to inductively code and gradually constructs a general framework to interpret the data. An

inductive thematic analysis was carried out in three steps (Braun and Clarke, 2006). In the first phase, every interview was read and re-read with the aim of identifying meaningful segments – a sentence or a group of sentences – related to the research question. These segments were coded as initial codes (merely descriptive and close to the interviewee discourse (Boyatzis, 1998)). A segment containing different meanings could be coded twice or more. This iterative process is based on a constant comparison between generated themes (Braun and Clarke, 2006).

To ensure the reliability and validity of the analysis, the codes and the related quotes have been thoroughly discussed with other researchers (Miles and Huberman, 1994). This multiple-check strategy serves to avoid any bias related to the researcher’s analytic preconceptions. The themes have progressively been reorganized and clarified on the basis of peer suggestions. Once the list was stabilized, the second phase consisted of combining the different codes into larger analytical themes (Braun and Clarke, 2006). These overarching themes were constructed following the double criterion of internal homogeneity and external heterogeneity. Data within themes should indeed cohere together meaningfully, while there should be clear and identifiable distinctions between themes (Braun and Clarke, 2006). Again, this iterative process of refining has been thoroughly discussed with other researchers to ensure validity. These themes eventually mirror the six logics of non-participation in mini-publics established in this article. After the final definition of the coding scheme (presented in appendix 2), every interview was coded one last time in order to ensure a coherent and uniform thematic analysis throughout all interviews.

Data-driven thematic analysis is an interpretative process that requires the researcher to be creative. Nevertheless, transparency, systematic comparison and peer review are key elements to insure trustworthiness and possible replicability of findings (Miles and Huberman, 1994).

THE SIX LOGICS OF NON-PARTICIPATION

Six logics of non-participation have been inductively identified. They are not mutually exclusive which means that several logics can explain the refusal of an interviewee to take part in the mini-public. Nevertheless, each logic is sufficient to explain the non-participation of citizens. The last column of table 3 indicates if a logic was rather often (more than ten times) or less often (less than ten times) present in interviews. They are presented in this section with quotes that best illustrate them.

Table 3. The six explanatory logics of non-participation in mini-publics

<table>
<thead>
<tr>
<th>Logic</th>
<th>Short description</th>
<th>Presence in interview</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concentration on the private sphere</td>
<td>Preference for spending time in the private sphere, especially with family and at the work place; withdrawal from the public arena</td>
<td>Often</td>
</tr>
<tr>
<td>Internal inefficacy</td>
<td>Self-disqualification because of perceived lack of political competence and expertise regarding the discussed topics</td>
<td>Less often</td>
</tr>
<tr>
<td>Public meetings avoidance</td>
<td>Avoidance of public meetings due to a dislike of group situations, the reluctance to speak up in public and the fear of others’ judgment</td>
<td>Less often</td>
</tr>
<tr>
<td>Conflict of schedule</td>
<td>Other events or activities planned on the same day or week-end as the mini-public</td>
<td>Often</td>
</tr>
<tr>
<td>Political alienation</td>
<td>Generalized rejection of political activities with a feeling of powerlessness; participation seen as an elite-driven manipulation</td>
<td>Less often</td>
</tr>
<tr>
<td>Mini-public’s lack of impact</td>
<td>Negative evaluation of the mini-public because of the lack of potential outputs on the political system</td>
<td>Often</td>
</tr>
</tbody>
</table>

Concentration on the private sphere

‘Too busy’ is identified by Neblo et al. (2010) as the main reason of non-participation in their experiment. But qualitative interviews enable a better understanding of this form of justification. To attract a maximum of citizens, the three mini-publics were organized during weekends or on a public holiday. Nevertheless, some interviewees explained that they preferred to concentrate on their private sphere during this period. Two kind of reasoning are present among interviewees.

On the one hand, some people explained that they preferred to stay with their family. These interviewees, mostly young parents, feel increasingly under pressure by their job during the week but also at the weekend. In particular, they complain about not having enough time to take care of their children or simply to rest. This leaves them no time for engaging in other
activities. Accordingly, they decline the invitation to the mini-public, explaining it is just inconceivable to devote this time for something else than family.

Having three children, I have got other things to take care of. I try to focus on the necessary and that is why I tend to leave aside many other things. [...] My husband works as a freelance and we barely see each other during the week. Therefore, during the weekend, I must admit that family time is the priority. (CCP, woman, 35 years old)

Some non-participants also mentioned “job” as the reason of their decline. As illustrated by the quotes, it is less a matter of financial necessity or incompatible schedules than a matter of principle. They perceive their job as a form of moral duty, often related to a discourse based on the conviction that freelancers have to work under any circumstances. For them, deliberative mini-publics, and more broadly political action, are possible enjoyable distractions but do not take precedence over daily work which they perceive as more essential to their life.

- Do you regret having less time to do this kind of things [G1000]?

- No, because actually, well, we all have our own concerns. I have to run my business so, yeah, of course. I have to dedicate time to that. If I have the choice, I prefer to spend time to run my business. (G1000, man, 25 years old)

It is a complete no go for a boss to take a leave of absence for things that seem benign and unimportant, so you keep on working, there is no choice, you go to work and that’s it. This is not, this has always belonged to the way of thinking of self-employed people. (CCP, woman, 65 years old)

This logic shows that even if formally contacted, some citizens prefer to stay outside the political realm. This echoes the division of political labor principle which supposes that citizens delegate power to representatives in order to concentrate on other kinds of activities in the private sphere (Manin, 1997).

**Internal inefficacy**

Mini-publics are based on the principle of citizens’ equal capabilities to make useful contributions (Carson and Martin, 1999). Nevertheless, and as observed for conventional modes of engagement (Niemi et al., 1991), some citizens do not recognize their own competence to participate in deliberative panels. Citizens with this internal inefficacy logic of non-participation have refused because this activity, according to them, is out of their fields. They envision politics as a specialized field based on specific codes and rules. Citizens participation
only makes sense if they are specialized in this activity as professional politicians or spokesmen of special interest.

Then I believe that if you lack…, if you don’t have a real clue about politics, because politics is what it is, well… then you are lost. In that case, if you had to go to parliaments or so, I think that half of the – wait, how is it called… – the session, it would all seem impossible to understand because the words used would not be adapted to people like us, and you are just completely lost. (CCP, woman, 28 years old)

Others insist on the topics at hand during the deliberations. For instance a construction worker explained that he lacks the skills to deal with topics discussed in the G1000. He would accept giving his opinion on problems related to his professional expertise, as the building of a new roundabout, but not on global issues as the organization of a social security system.

I am not going to deal with finances for example… uhm… everyone has his own specialty. (G1000, man, 44 years old)

This shows that the proclaimed equality of citizens for democratic innovations clashes with some citizens’ inability to feel legitimate and competent enough to participate in politics.

Public meetings avoidance

Compared to other participatory tools, mini-publics are quite peculiar because they invite participants to deliberate with one another. Face-to-face exchanges place citizens in situations of meeting people that they do not know and force them to express their opinions in public. Previous studies have already pointed out that reluctance to be exposed to conflicting opinions is an important factor to explain unwillingness to take part in political discussion (Mutz, 2006, Karjalainen and Rapeli, 2015). But the simple fact of having to spend time with a group of fellow citizens that are perfect strangers to each other can be the main reason of their refusal to attend mini-publics.

This wasn’t my cup of tea. No really, all these associations and kind of things it’s really not what I enjoy doing. […] I mean, I don’t like group activities. Maybe I’m a bit like a bear hiding in his cave you see, but I don’t like it, I don’t like groups because… it’s like this. I mean… there are people who like gathering, to meet up every weekend whilst I’d say that I’m better off far from the crowd. (G100, man, 63 years old)
Citizens with this logic of non-participation explain that they do not like to speak out in public. Some interviewees go still further by admitting that their avoidance of public meetings is related to their fear to be judged by others in these citizens’ panels. They shared some unpleasant, personal memories with the researcher. For instance, a retired man explained how group discussions are always stressful because he has already experienced saying “something stupid” and being mocked by the audience during a local meeting. His decline of the CCP can be explained by his fear to re-experience the same situation. Another woman suffered depression after the loss of someone close to her. She never wanted to go out because she could not bear people look at her. She declined the mini-public because she was not ready to face judgmental looks. This avoidance is related to the deliberative feature of mini-publics, and not political engagement in general. This is illustrated by the fact that she often signs online-petitions, precisely because it allows her to engage without being in contact with other people. The difference between the number of participants foreseen (1000 for G1000, 100 for G100 and 45 for PCC) does not seem to affect non-participants’ discourses. This being said, this research does not cover mini-publics with very low number numbers like some citizens’ juries.

Conflict of schedule

This logic of non-participation refers to a clear conflict of schedules. On the day or the weekend of the mini-public, these non-participants had other events or activities planned. There were of various natures: a wedding, a birthday, weekend classes, relatives in the hospital and work.

It is important however to make a clear distinction between this conflict of schedule logic and the concentration on the private sphere logic. Both logics pertain to the lack of time to participate in deliberative forums. But the overlapping agenda does not imply that citizens are not interested by the participation in other cases. Quite the contrary, some explain that they were very disappointed because they really found the project intriguing and exciting. On the contrary, some non-participants confessed that these overlapping activities were a chance for them because they had an easy excuse to decline the invitation. For them, this first motive of non-participation is then combined with one or more other logics.
**Political alienation**

This explanation connects non-participation in mini-publics with the political alienation of some citizens. Interviewees with this logic constantly explain that they dislike politics because politicians are unresponsive to people’s concerns. Political elites are depicted as living in “their own world”, distant from the population and involved in politics for their self-interest. The system seems blocked and nobody can change it. This feeling of powerlessness prevents individuals from participating in any form of engagement (Hay, 2007), and in this case mini-publics.

- And what was your reaction when you received the invitation to the PPC?
- Listen, I wondered what they are expecting. Politics… I’ve always said that these people [politicians] are always the same and that they meet and just have a good laugh together. (CCP, woman, 82 years old)

Citizens with this logic are suspicious of the notion of citizen participation and view it as useless. They feel that politicians always distort the voice of the people to their own advantage. Therefore, organized forms of citizens’ participation and deliberation are the political elite’s stratagem for manipulation whilst claiming that decisions are congruent with the people’s will.

The more politicians claim to consult citizens, the less they seem to take them into account. And it’s intentional, it’s a communication policy, […] “Let’s poll people, let’s pretend to listen to the public or the people, or anyone you want.” (G100, man, 64 years old)

It is interesting to note that there are no differences between the two bottom-up mini-publics and the top-down CCP for these alienated non-participants. The organizers of the G1000 and the G100 insisted a lot on their grassroots style to generate an alternative and autonomous voice of the people. However, as illustrated by the last quotes, non-participants with this logic did not perceive this particular feature. Because mini-publics are connected to politics, they are supposed to be organized by ‘the political system’ and are accordingly rejected.

**Mini-public’s lack of impact**

This logic of non-participation is related to a negative evaluation of the potential impact of the mini-public. During the interview, different narratives are provided by interviewees to justify this skepticism. Some quickly explain that the mini-public in itself does not look serious and
well-organized enough to have any influence on the political system. Other non-participants criticize the lack of clear mechanisms and strategies to have an impact. In the following quote, a businessman explains that the G1000 appears to him as a group of discussion without any formal possibility of action on the actual world. He is part an informal network gathering stakeholders of the regional industry, with clear action plans. He finds this kind of engagement more ‘efficient’ than a deliberative mini-public.

I had mixed feelings at first because I didn’t know anything about that, I tried to search on the Internet to understand what it is about and what these groups and associations bring about. So, I checked what this is all about, what their aim and what their potential levers are. If they want to organize a participatory group without having a powerful lever to influence anything or anyone, then, I don’t see the point. (G1000, man, 49 years-old)

Finally, the ‘ordinary’ character of mini-public participants can also be connected to their lack of impact. In the light of the following quote, a young educator indicated that power is in the hands of elected representatives who can initiate policies. She does not see how the CCP can have any impact on the broader society precisely because it is composed of lay citizens.

I had a brief look but I couldn’t be bothered too much because I believe that on our level, we can’t have that great of an impact. People like us can’t make a big difference. (CCP, woman, 28 years old)

This skepticism about the mini-public’s impact might be not surprising for the G1000 and the G100 mechanisms because they were organized by the civil society without any relation to the formal decision-making-process. But interviews show that citizens may also evaluate negatively the potential output of participatory procedures organized by public authorities.

Interviews also permit to nuance the ‘non-binding’ feature as a source of non-participation (Neblo et al., 2010). None of the participants with this discourse explain that they expect a direct influence on policies in order to accept the invitation. It is more the ability to deliver a message to the broader public sphere and the risk that it remains a purely isolated deliberation which is criticized.
DISCUSSION

The six logics of non-participation explain why some citizens fail to participate in mini-publics. They are constructed inductively from interviews with non-participants in three Belgian mini-publics. They reveal the complexities of developing participatory and deliberative mechanisms in current representative democracies.

First of all, non-participation in the three mini-publics is connected to citizens’ withdrawal from the public sphere. While different incentives and recruitment techniques may increase the participation rate (Fishkin, 2009), the logics of political alienation, concentration on the private sphere, public meeting avoidance and internal inefficacy indicated that the refusal to take part in such democratic innovations is fundamentally rooted in the way citizens view their attributions, capacities and capabilities in the political system (Dalton, 2008). Even if they are invited, some citizens do not want to be more involved in deliberations on public issues, which is in line with the thesis of Stealth Democracy (Hibbing and Theiss-Morse, 2002). This nuances the deliberative and participatory turn of current democracies (Goodin, 2008). The last decades have seen the development of mechanisms at different levels of governance that foster the participation of lay citizens. But this qualitative analysis shows that many citizens decline the invitation because it is not compatible with the way they conceive their role in current democracies.

This focus on citizen’s self-perceptions does not imply that explanation is purely individualistic. Quite the contrary, and as Hay suggests (2007), political disengagement can also be explained by supply-side factors like marketization, neoliberalism and the displacement of policy-making autonomy, which diminish and denude the realm of formal public political deliberation. In the context of this research, several logics may be connected to more structural factors. The internal inefficacy finds its roots in the process of political socialization by which citizens acquire attitudes towards politics by families, schools and mass-medias. The avoidance of public meetings is related to the social capital transformation. The concentration on the private sphere is a consequence of the perceived work pressure in everyday life and labor organization. Further research is needed to explain how these logics are influenced by broader structural factors, notably through macro comparative studies, but this analysis shows that they influence citizens’ reactions towards democratic innovations.

Citizens’ withdrawal from the public sphere can also inform the debate about the role of mini-publics in the deliberative system (Mansbridge et al., 2013: 26). Some theorists criticize the growing attention on small-scale venues because it neglects deliberation in the ‘mass public’ (Chambers, 2009). Lafont (2015) argues that deliberative democracy should seek to include the wider public in deliberation and accordingly increase political involvement of all citizens. Using mini-publics to shape public policies may create new deliberative elites – randomly selected but distinct from the wider population precisely because they have taken the time to deliberate – without promoting deliberation in the entire democratic system. She claims in this sense that the development of mini-publics can only be fruitful if it intends to foster mass deliberation and not to replace it. Niemeyer (2014) proposes, for instance, to use them to distil, constrain and synthesize arguments on complex topics in terms understood by the general public or as an example for other interactions in the deliberation system.

The results of this research confirm that implementing micro-deliberative initiatives cannot be an alternative to the improvement of deliberation in the wider public sphere (Lafont, 2015). Many citizens avoid public engagement and political discussion with people they do not know in general and, accordingly, fail to participate in mini-publics when they are recruited. Non-participation in such democratic innovations shares, in this sense, common sources with non-participation in other forms of public action (concentration on the private sphere, internal inefficacy, public meetings avoidance, political alienation). Developing an inclusive deliberative democracy requires then an attention to the global process of politicization where citizens acquire, in various sites of the system, deliberative abilities and interest in engagement for the common good (Jennings, 2007). If mini-publics intend to reinvigorate deliberation-making in mass publics (Niemeyer, 2014: 179), strategies should be developed to include all citizens beyond those who accept to take part in such demanding forms of action.

But interviews also show that the participatory forums and its presentation also count for explaining non-participation. The weak policy influence of participatory forums organized with lay citizens has already been pointed out by scientific observers (Papadopoulos, 2013, Boussaguet, 2016). This article suggests that the recruited citizens are also skeptical and this is a source of non-engagement. With no guarantee of effectiveness, it is not worth spending time for deliberating. Interestingly, there are no observable differences between the different types of mini-publics considered in this research. One could expect that a public procedure does not

face the same skepticism but interviews show that the same rationales are present in both types of mini-publics.

Finally, this empirical research was a first inquiry into the views of non-participants on mini-publics. This focus on a too often neglected category of actors is essential to understand the integration of democratic innovations in current representative regimes and needs to be continued. The three mini-publics analyzed in this article have shown a relative low response rate if we compare it with the “best practices” of some Deliberative Polls or Citizens Juries which can reach 20% of participation. Comparative research should be developed to explain these differences. More precisely, how are the six logics of non-participation affected by the features of such mini-publics? Participants of Deliberative Polls are usually paid for their engagement. Could money entirely or partially offset non-participation logics? Also, contrary to the three mini-publics discussed in this article, some local citizens juries and planning cells deal with controversial policies closely affecting participants (Font and Blanco, 2007). Does this mitigate the observed mini-public perceived lack of impact and for which categories of citizens? These questions warrant further investigation in diversified contexts.

CONCLUSION: THE CHALLENGES OF INCLUSIVE DEMOCRACY

Previous reflections on mini-publics have mainly focused on their design, the quality of their deliberation and the educative impacts on the participants. Nevertheless, many individuals recruited to be part of such mechanisms fail to participate. In order to understand this reaction, in-depth interviews with people who have declined the invitation have been carried out and analyzed. This qualitative approach has allowed distinguishing six explanatory logics of non-participation. Some prefer to concentrate on the private sphere and do not feel competent to express political judgments in these arenas. The deliberative feature of mini-publics prevents participation because certain people dislike speaking out in public and are afraid of others’ judgments. Non-participants with the political alienation logic dislike politics and consider that every form of engagement will be manipulated by political elites. Finally, some selected citizens decline the invitation because there is no guarantee of actual external political output on the political system.

What are the implications for the development of such participatory and deliberative procedures? It is possible to distinguish two kinds of challenges that are situated at two different levels. On the one hand, this article has shown that non-participation is deeply rooted in citizens’ attitude towards politics and civic engagement. From this perspective, the challenge is very global and concerns the whole process of politicization (Hay, 2007) which goes beyond the scope of any particular deliberative mini-public. On the other hand, and more practically manageable for organizers of democratic innovations, several features of the design can have an influence. Of course, all the incentives (e.g. money) and efforts made to facilitate participation (e.g. child care) can influence the rate of participation. But interviews with non-participants also show that the mini-publics’ output matters (Goodin and Dryzek, 2006). Some citizens are concerned by the fate of the recommendations in the broader political system. They decline to participate when they perceive deliberation as hardly influential. To tackle this logic of non-participation, organizers could work and communicate from the outset on the mini-public’s potential impact on the broader political system. This shows that the challenges of an inclusive deliberative democracy are global, and cannot be limited to the internal designs of mini-publics.

APPENDIX

Appendix 1. Interview guide

- Short presentation of the research.
- Could you briefly introduce yourself?
- Could you tell me what went through your mind when you were contacted by the G1000/G100/PCC organization?
- Why did you not participate?
- More broadly, what do you think about this kind of experience that invites people to deliberate about political issues?
- In general, are you interested in politics?
- Do you sometimes engage yourself in the public realm? Are you a member of any association or groups?
- What do you think of the place of the ordinary citizens in the current political system?
- To conclude the interview, I need more personal information. Could you tell me your age, your residence place, your occupation and your education?
### Appendix 2. Coding Scheme

<table>
<thead>
<tr>
<th>Category</th>
<th>Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concentration on the private sphere</td>
<td>Don’t want to be more involved in politics</td>
</tr>
<tr>
<td></td>
<td>Lack of time for political activities</td>
</tr>
<tr>
<td></td>
<td>Prefer to stay with their children</td>
</tr>
<tr>
<td></td>
<td>Weekend booked for family time</td>
</tr>
<tr>
<td></td>
<td>Weekend for relaxation</td>
</tr>
<tr>
<td></td>
<td>Work is the priority</td>
</tr>
<tr>
<td>Internal inefficacy</td>
<td>Don’t feel competent</td>
</tr>
<tr>
<td></td>
<td>Lack of education</td>
</tr>
<tr>
<td></td>
<td>Lack of knowledge related to the discussed topic</td>
</tr>
<tr>
<td></td>
<td>Lack of political knowledge</td>
</tr>
<tr>
<td></td>
<td>Not enough engaged in politics (not activist)</td>
</tr>
<tr>
<td>Public meetings avoidance</td>
<td>Don’t like to be in groups</td>
</tr>
<tr>
<td></td>
<td>Fear of others’ judgments</td>
</tr>
<tr>
<td></td>
<td>Fear to be mocked</td>
</tr>
<tr>
<td></td>
<td>Fear of public speaking</td>
</tr>
<tr>
<td>Conflict of schedule</td>
<td>Birthday</td>
</tr>
<tr>
<td></td>
<td>Courses at the university</td>
</tr>
<tr>
<td></td>
<td>relative in the hospital</td>
</tr>
<tr>
<td></td>
<td>Looking after children</td>
</tr>
<tr>
<td></td>
<td>Marriage of relatives</td>
</tr>
<tr>
<td></td>
<td>Newborn</td>
</tr>
<tr>
<td></td>
<td>Work</td>
</tr>
<tr>
<td>Political alienation</td>
<td>All politicians are the same</td>
</tr>
<tr>
<td></td>
<td>Hatred of politics</td>
</tr>
<tr>
<td></td>
<td>Impossible to influence elites</td>
</tr>
<tr>
<td></td>
<td>Nothing can change</td>
</tr>
<tr>
<td></td>
<td>Participation is manipulation</td>
</tr>
<tr>
<td></td>
<td>Participation is useless</td>
</tr>
<tr>
<td></td>
<td>Politicians are selfish</td>
</tr>
<tr>
<td></td>
<td>People are never heard by elites</td>
</tr>
<tr>
<td>Mini-public’s lack of impact</td>
<td>Lack of credibility of the organization</td>
</tr>
<tr>
<td></td>
<td>Lack of potential impact</td>
</tr>
<tr>
<td></td>
<td>Lay nature of mini-public</td>
</tr>
</tbody>
</table>

### ACKNOWLEDGEMENTS

A number of people have contributed to this research project in different ways. I would like to thank organizers of the G1000, the G100 and the Citizen Climate Parliament for their support. I wish to express my warmest thanks to those non-participants who agreed to be interviewed and to student assistants for their transcription. I am grateful for invaluable feedbacks and suggestions on earlier drafts of this article by André Bächtiger, Rémi Barbier, Åsa Bengtsson, Jérémy Dodeigne, Catherine Fallon, Monica Ferrin, Brigitte Geißel, Sergiu Gherghina, Petra Guasti, Pamela Heß, Julie Reginster, Min Reuchamps, Jonathan Rinne, Ben Seyd, Nathalie Schiffino-Leclercq, Julien Talpin, Laeticia Thyssen, Audrey Vandeleeene, Virginie Van Ingelgom and various panel participants at conferences and workshops held in Frankfurt, Lille,
Montreal, and Warsaw. I also wish to express my sincere thanks to three anonymous referees and the Editors of EJPR for their comments on this piece. Research for this article was funded by the Fonds pour la Recherche en Sciences Humaines (F.R.S.-FNRS).

REFERENCES


1 They refer to different type of ratio between the population (people who participate in one pre survey, number of letters sent, people with contact by phone) and participants (number of people who formally accepted, number of people who responded to a pre questionnaire, number of people who effectively show up). Moreover, quotas can be used at different stage of the process.

2 As suggested by an anonymous referee, it is possible to add a third category: mini-publics organized by academics. Actually, political scientists were present in the organizational team of each three cases to provide a methodological support, but they were not the leading organizers.

3 Actually, the CCP participants were paid at the end of the second weekend but this was not announced in the recruitment process and could not influence the participation rate.

4 Number of people who effectively show up on number of contacted people. This way of calculating may partly explain the relative low rate in comparison with other cases reported which use more “advantageous” measures.

5 They are mainly artists, leaders of foundations, business men or women and academics.

6 One is an environmental organization focusing on sustainable towns and the other one is specialized in the facilitation of group dynamics.

7 This is the legislative branch of the provincial level of government.

8 The database for the random selection was telephone directory.

9 The rather high response rate (56.7 %) is due to the fact that people could choose the venue and time of the interview (including during weekends). Non-participants who were not available during a long period were again contacted three months later. It was also clearly mentioned that the researcher was not mandated by organizers to attract them in the deliberative panels and that interviews were carried out to a purely scientific purpose. All these efforts have enabled the interview of individuals with different socio-demographic backgrounds and different opinions on the mini-public.

10 The age mentioned is the age of the non-participant the day they have received the invitation to take part in the mini-public.